

0590 N Sector #16  
Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)

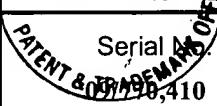
Docket No.

P-576

03CO

In Re Application Of: HUBER et al

JUL 23 2001



Serial No.

Filing Date

01/25/2001

Examiner

N/A

Group Art Unit

N/A

Invention: METHOD AND APPARATUS FOR SEPARATING POLYNUCLEOTIDES USING MONOLITHIC CAPILLARY COLUMNS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

03/22/2001

Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.

1 verified small entity declaration(s)

is/are attached.

was/were filed on \_\_\_\_\_

A separate request for refund.

Other (list):

A SUBSTITUTE SPECIFICATION IN COMPLIANCE WITH 37 CFR 1.52

07/25/2001 CHAU1 00000076 500821 09770410

02 FC:216

195.00 CH

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
**P-576**

**In Re Application Of: HUBER et al**

Serial No.	Filing Date	Examiner	Group Art Unit
09/770,410	01/25/2001	N/A	N/A

**Invention: METHOD AND APPARATUS FOR SEPARATING POLYNUCLEOTIDES USING MONOLITHIC CAPILLARY COLUMNS**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Attention: Manager, Application Branch**

<input checked="" type="checkbox"/> Completion of application fees as calculated below:	
<input type="checkbox"/> Utility application filing fee	_____
<input type="checkbox"/> Design application filing fee	_____
<input type="checkbox"/> Total number of independent claims =	_____
<input type="checkbox"/> Total number of claims =	_____
<input type="checkbox"/> Multiple dependent claims	_____
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	\$65.00
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor	_____
<input type="checkbox"/> Fee for processing an application filed with a non-English language specification	_____
<input type="checkbox"/> Fee for processing and retention of application	_____
Total completion of application fees	\$65.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month       Two months       Three months       Four months       Five months

from: 03/22/2001 *Date* until: 07/22/2001 *Date*

Total time extension fees : \$195.00

Total fees due \$260.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
**P-576**

In Re Application Of: **HUBER et al**

Serial No.  
**09/770,410**

Filing Date  
**01/25/2001**

Examiner  
**N/A**

Group Art Unit  
**N/A**

**Invention: METHOD AND APPARATUS FOR SEPARATING POLYNUCLEOTIDES USING MONOLITHIC CAPILLARY COLUMNS**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Attention: Manager, Application Branch**

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The fee of **\$260.00** is to be paid as follows:

A check in the amount of the fee is enclosed.

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0821**

A duplicate copy of this sheet is enclosed.

If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0821**

A duplicate copy of this sheet is enclosed.



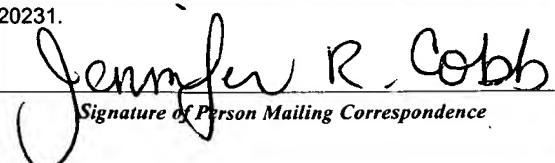
*Signature*

**WILLIAM B. WALKER  
REGISTRATION NUMBER: 22,498  
TRANSGENOMIC, INC.  
2032 CONCOURSE DRIVE  
SAN JOSE, CA 95131**

Dated:

*7/19/2001*

I certify that this document and fee is being deposited on *7-19-2001* with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



*Jennifer R. Cobb*

*Signature of Person Mailing Correspondence*

**JENNIFER R. COBB**

*Typed or Printed Name of Person Mailing Correspondence*

CC:

#6



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/770,410	01/25/2001	Christian Huber	P-576

CONFIRMATION NO. 6186

## FORMALITIES LETTER



\*OC000000005891040\*

TRANSGENOMIC, INC.  
2032 CONCOURSE DRIVE  
SAN JOSE, CA 95131

Date Mailed: 03/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice **MUST** be returned with the reply.*

01 FC:205  
67/15/2001 CHRMN 00000076 5000021 09770410

65.00 CH  
01 FC:205

**Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE**

תְּלִימָדָה וְעַמְּדָה

#6

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): HOPPER et al

Docket No.

P-576

Serial No.  
09/770,410 2 3 2001

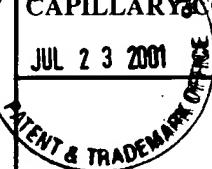
Filing Date  
01/25/2001

Examiner  
N/A

Group Art Unit  
N/A

Invention: A METHOD AND APPARATUS FOR SEPARATING POLYNUCLEOTIDES USING MONOLITHIC CAPILLARY COLUMNS

JUL 23 2001



I hereby certify that this **SPECIFICATION HAVING 54 PAGES**

*(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

7-19-2001

*(Date)*

**JENNIFER R. COBB**

*(Typed or Printed Name of Person Mailing Correspondence)*

*(Signature of Person Mailing Correspondence)*

Note: Each paper must have its own certificate of mailing.

#5

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): HUEYER et al

Docket No.

P-576

Serial No. JUL 23 2001  
09/770,418

Filing Date  
01/25/2001

Examiner  
N/A

Group Art Unit  
N/A

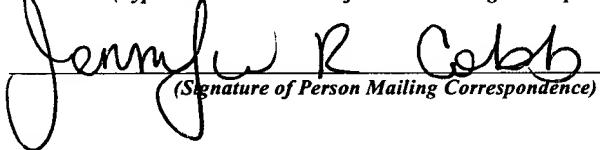
Invention: **METHOD AND APPARATUS FOR SEPARATING POLYNUCLEOTIDES USING MONOLITHIC CAPILLARY COLUMNS**

I hereby certify that this **NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**  
*(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The  
Assistant Commissioner for Patents, Washington, D.C. 20231 on 7-19-2007  
*(Date)*

**JENNIFER R. COBB**

*(Typed or Printed Name of Person Mailing Correspondence)*

  
*(Signature of Person Mailing Correspondence)*

**Note:** Each paper must have its own certificate of mailing.

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

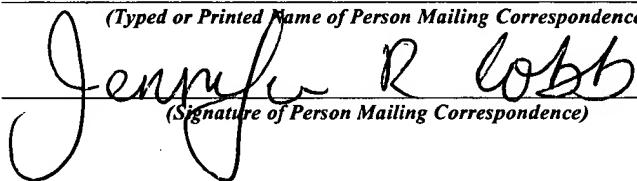
Applicant(s): HUBER et al.

Docket No.

P-576

Serial No.  
09/770,410JUL 23 2001  
PATENT & TRADEMARK OFFICEFiling Date  
01/25/2001Examiner  
N/AGroup Art Unit  
N/AInvention: **METHOD AND APPARATUS FOR SEPARATING POLYNUCLEOTIDES USING MONOLITHIC CAPILLARY COLUMNS**I hereby certify that this **DECLARATION AND POWER OF ATTORNEY FORM***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

7-19-2001  
*(Date)***JENNIFER R. COBB***(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)*

Note: Each paper must have its own certificate of mailing.